Patent application

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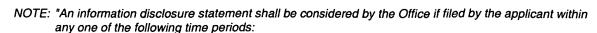
Practitioner's Docket No. 2547/101

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

of			
	Inventor(s)		
for		=	
	Title of invention	울	1 >
÷ :	OR ·	ABopor	APR 1 8 2001
In re application of: Pool et al.		E	182
Application No.: 08/999,297 Filed: December 29, 1997 For: UNIVERISAL SHOPPING CENTER	Group Art Unit: 2164 Examiner: Akers FOR INTERNATIONAL OPERATION	echnology Center 2100	001
Commissioner for Patents Washington, D.C. 20231			
INFORMATION	DISCLOSURE STATEMENT		
(When using Express Mail, the Express M. When using Express M. Exp	DER 37 C.F.R. § 1.8(a) and 1.10* the Express Mail label number is mandatory; that certification is optional.) the date shown below, being:	hav	Q,
deposited with the United States Postal Serv	MAILING circle in an envelope addressed to the Commissione		
Washington, D.C. 20231 C.F.R. § 1.8(a)	37 C.F.R. § 1.10*		
□ with sufficient postage as first class mail.	☐ as "Express Mail Post Office to Address Mailing Label No(latory)
\ т	 RANSMISSION		
Date: 18 April 200	Signature		
	Bruce D. Sunstein (type or print name of person certifying)		

(Information Disclosure Statement [6-1]--page 1 of 6)



- (1) Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
- (2) Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application;
- (3) Before the mailing date of a first Office action on the merits; or
- (4) Before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114."

37 C.F.R. § 1.97(b).

NOTE: "Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section." 37 C.FR. § 1.56(a).

"Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) each inventor named in the application;
- (2) each attorney or agent who prepares or prosecutes the application; and
- (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.56(c).

NOTE: The "duty as described in § 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1135 O. G. 13 -25 at 17.

WARNING: "No information disclosure statement maybe filed in a provisional application." 37 C.FR. § 1.51(d).

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement: (check sections forming a part of this statement: discard unused sections and number pages consecutively)

1.	Preliminary Statements
2.	FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)
3.	Statement as to Information Not Found in Patents or Publications
4.	Identification of Prior Application in Which Listed Information Was Already Cited
	and for Which No Copies Are Submitted or Need Be Submitted
5.	Cumulative Patents or Publications
3.	Copies of Listed Information Items Accompanying This Statement
7.	Concise Explanation of Non-English Language Listed Information Items
	7A. ☐ EPO Search Report
	7B. ☐ English Language Version of EPO Search Report
3.	Translation(s) of Non-English Language Documents

9. ☐ Concise Explanation of English Language Listed Information Items (Optional)

Identification of Person(s) Making This Information Disclosure Statement

(complete the following, if appropriate)

Sections

, respectively, have been continued on ADDED PAGE(S).

NOTE: "Once the minimum requirements are met the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).

(Information Disclosure Statement [6-1]--page 2 of 6)

Section 1. Preliminary Statements

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 6. Copies of Listed Information Items Accompanying This Statement

NOTE: 37 C.F.R. § 198(a)(2) requires that any information disclosure statement filed under § 1.97 shall include:

"A legible copy of .:

- (i) Each U.S. patent application publication and U.S. and foreign patent;
- (ii) Each publication or that portion which caused it to be listed,
- (iii) For each cited pending U.S. application, the application specification including the claims, and any drawing of the application, or that portion of the application which caused it to be listed including any claims directed to that portion. . .

Legible copies of all items listed in Forms PTO/SB/08A and 08B (formerly Form PTO-1449) accompany this information statement.

(complete the following, if applicable)

Exce	ntion	(2)	tο	above:
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Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.

Section 10. Identification of Person(s) Making This Information Disclosure Statement

The person making this statement is	APR echnologi
(check e	each applicable item)
(a) □ the inventor(s) who sign	each applicable item) ns below
	SIGNATURE OF INVENTOR
	(type or print name of inventor who is signing)
(b) □ an individual associated of this application (37 C	d with the filing and prosecution .F.R. § 1.56(c))
	SIGNATURE OF INVENTOR
	(type or print name of inventor who is signing)
(c) the practitioner who sign	ns below on the basis of the information:
(check e	ach applicable item)
■ supplied by the	he inventor(s).
· · · · · · · · · · · · · · · · · · ·	n individual associated with the filing and prosecution tion. (37 C.F.R. § 1.56(c))
in the practition Reg. No.: 27,234	ner's file.
neg. No.: 21,234	SIGNATURE OF PRACTITIONER
Tel. No.: 617-443-9292	Bruce D. Sunstein (type or print name of person certifying)
Customer No.: 00-2101	Bromberg & Sunstein LLP 125 Summer Street, Boston, MA 02110